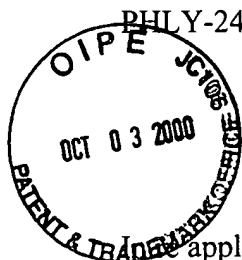


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PATENT



PHLY-24,732

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 2700

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OCT - 6 2000

Application of: Jeffrey Jovan PHILYAW and David Kent MATHEWS

Serial No.: 09/382,426

Filing Date: August 24, 1999

Group: 2755

Examiner: Not Yet Assigned

For: METHOD AND APPARATUS FOR COMPLETING, SECURING AND
CONDUCTING AN E-COMMERCE TRANSACTION

Assistant Commissioner for
Patents
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with
the United States Postal Service as first class mail in an envelope
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DC 20231 on

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(Date of Deposit)

John J. Arnott REG. No. 39,095

(Name of Person Mailing Document)

John J. Arnott

(Signature)

9/27/00

(Date of Signature)

Dear Sir:

PRELIMINARY AMENDMENT

Prior to the initial review, please amend the application as follows.

IN THE CROSS REFERENCE TO RELATED APPLICATIONS

Please delete the current statement in its entirety and insert therefore:

--This application is a Continuation-in-Part of pending U.S. Patent Application Serial
No. 09/378,221 entitled "METHOD AND APPARATUS FOR ACCESSING A REMOTE

PRELIMINARY AMENDMENT
Serial No. 09/382,426

Page 1 of 7

LOCATION BY SCANNING AN OPTICAL CODE," filed on August 19, 1999, which is
a Continuation-in-Part of pending U.S. Patent Application Serial No. 09/151,471 entitled
5 "METHOD FOR INTERFACING SCANNED PRODUCT INFORMATION WITH A
SOURCE FOR THE PRODUCT OVER A GLOBAL NETWORK" filed on September 11,
1998, and U.S. Patent Application Serial No. 09/151,530 entitled "METHOD FOR
CONTROLLING A COMPUTER WITH AN AUDIO SIGNAL" filed on September 11,
1998, and which issued on August 1, 2000 as U.S. Patent No. 6,098,106; the present
10 application being related to the following pending U.S. Patent Applications: Serial No.
09/378,219 entitled "INTERACTIVE DOLL," filed on August 19, 1999; Serial No.
09/378,222 entitled "METHOD AND APPARATUS FOR EMBEDDING ROUTING
INFORMATION TO A REMOTE WEB SITE IN AN AUDIO/VIDEO TRACK," filed on
August 19, 1999; Serial No. 09/378,220 entitled "METHOD AND APPARATUS FOR
15 CONTROLLING A COMPUTER FROM A REMOTE LOCATION," filed on August 19,
1999; Serial No. 09/378,216 entitled "A METHOD FOR CONTROLLING A COMPUTER
USING AN EMBEDDED UNIQUE CODE IN THE CONTENT OF VIDEO TAPE
MEDIA," filed on August 19, 1999; Serial No. 09/378,218 entitled "A METHOD FOR
CONTROLLING A COMPUTER USING AN EMBEDDED UNIQUE CODE IN THE
20 CONTENT OF DVD MEDIA," filed on August 19, 1999; Serial No. 09/378,217 entitled "A
METHOD FOR CONTROLLING A COMPUTER USING AN EMBEDDED UNIQUE
CODE IN THE CONTENT OF CD MEDIA," filed on August 19, 1999; Serial No.
09/378,215 entitled "A METHOD FOR CONTROLLING A COMPUTER USING AN
EMBEDDED UNIQUE CODE IN THE CONTENT OF DAT MEDIA," filed on August 19,
25 1999; and related to the following co-pending U.S. Patent Applications filed on even date
with the present application: Serial No. 09/382,427 entitled "METHOD AND APPARATUS
FOR OPENING AND LAUNCHING A WEB BROWSER IN RESPONSE TO AN
AUDIBLE SIGNAL;" Serial No. 09/382,424 entitled "METHOD AND APPARATUS FOR
TRACKING USER PROFILE AND HABITS ON A GLOBAL NETWORK;" Serial No.
30 09/382,425 entitled "METHOD AND APPARATUS FOR DIRECTING AN EXISTING
PRODUCT CODE TO A REMOTE LOCATION;" Serial No. 09/283,373 entitled

“METHOD AND APPARATUS FOR LAUNCHING A WEB SITE WITH A NON-
STANDARD CONTROL INPUT DEVICE;” Serial No. 09/382,374 entitled “METHOD
AND APPARATUS FOR ALLOWING A BROADCAST TO REMOTELY CONTROL A
35 COMPUTER;” Serial No. 09/382,371 entitled “METHOD AND APPARATUS FOR
LAUNCHING A WEB SITE IN RESPONSE TO SCANNING OF A PRODUCT CODE;”
Serial No. 09/382,372 entitled “METHOD AND APPARATUS FOR MATCHING A
USER’S USE PROFILE IN COMMERCE WITH A BROADCAST;” Serial No. 09/382,423
entitled “METHOD AND APPARATUS FOR UTILIZING AN AUDIBLE SIGNAL TO
40 INDUCE A USER TO SELECT AN E-COMMERCE FUNCTION;” Serial No. 09/382,421
entitled “A COMBINED PRODUCT CODE AND INSIGNIA FOR SIGNIFYING AN
INTERNAL INTERACTIVE CODE;” Serial No. 09/382,420 entitled “DIGITAL ID FOR
SELECTING WEB BROWSER AND USE PREFERENCES OF A USER DURING USE
OF A WEB APPLICATION;” Serial No. 09/382,422 entitled “UNIQUE ID FOR
45 IDENTIFYING A USER AND FACILITATING AN E-COMMERCE TRANSACTION;”
Serial No. 09/382,377 entitled “METHOD AND APPARATUS FOR LINKING A WEB
BROWSER TO A PROMOTIONAL OFFER OVER A GLOBAL NETWORK;” Serial No.
09/382,376 entitled “METHOD AND APPARATUS FOR CONTROLLING A USER’S PC
THROUGH AN AUDIO/VISUAL BROADCAST TO ARCHIVE INFORMATION IN THE
50 USER’S PC;” Serial No. 09/382,375 entitled “METHOD AND APPARATUS FOR
UTILIZING AN EXISTING PRODUCT CODE TO ISSUE A MATCH TO A
PREDETERMINED LOCATION ON A GLOBAL NETWORK;” Serial. No. 09/379,699
entitled “NETWORK ROUTING UTILIZING A PRODUCT CODE;” and Serial No.
09/379,700 entitled “METHOD FOR INTERCONNECTING TWO LOCATIONS OVER
55 A NETWORK IN RESPONSE TO USING A TOOL.”--

IN THE SPECIFICATION

Page 9, line 10, change “embodiment; and” to --embodiment;--.

Page 9 line 12, change “.” to --;--.